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To: File

From: E.G Cool, S.G. Parker, A.J Mattfolk

**Re**: Hydroelectric Reservoir GHG Emissions

Date: December 1, 2014

This memo reviews the results of a study of the greenhouse gas (GHG) impact of a hydroelectric reservoir, and discusses how the results of that study may be used to estimate a net CO<sub>2</sub>eq emission rate for hydroelectric power.<sup>1</sup> The study, "The Net Carbon Footprint of a Newly Created Boreal Hydroelectric Reservoir" by Cristian R. Teodoru *et al*, was published in the June 2012 *Global Geophysical Cycles*, Volume 26 (Teodoru Study). The Teodoru Study analyzed measurements of carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>) releases and uptakes from terrestrial and aquatic ecosystems before and after the flooding of the Eastmain-1 reservoir in northern Quebec. This peer-reviewed study was conducted over a seven-year period, 2003 to 2009, and includes four years of post-flooding measurements.<sup>2</sup>

The authors collected field measurements and made other estimates of carbon releases (as  $CO_2$  and methane gas) and deposition (as carbon in sediments and forest biomass) at over 100 sites across the 602.9 km<sup>2</sup> reservoir ecosystem. The difference between the post-flooding measurements and the pre-flooding measurements was used to calculate the overall ecosystem carbon budget and the average net flux of carbon over the reservoir, expressed in units of carbon per square meter per day (mg C m<sup>-2</sup> d<sup>-1</sup>). The Teodoru Study converted the average net carbon flux across the reservoir basin to a carbon emission rate per GWh (in terms of the carbon component in  $CO_2eq$ ) by multiplying by the area of the reservoir (602.9 km<sup>2</sup>) and dividing by the expected annual generation of the Eastmain-1 hydroelectric plant (2.7 GWh).<sup>1</sup>

The Teodoru Study found that net carbon emissions were high in the early years following flooding and then declined steeply. The values reported in the Teodoru study shown in the table below have been converted to kg  $CO_2eq/MWh$ .

<sup>&</sup>lt;sup>1</sup> By convention,  $CO_2$  has a greenhouse warming potential of 1. Other GHGs, such as methane, have different greenhouse warming potentials. To express all GHGs in terms of  $CO_2$ -equivalent ( $CO_2$ eq), all other GHGs are converted to the quantity of  $CO_2$  which would have the equivalent greenhouse warming potential.

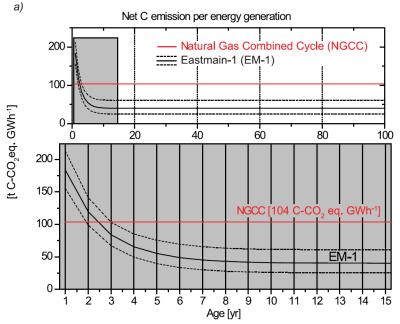
<sup>&</sup>lt;sup>2</sup> We have inquired if field data collection has continued since 2009, but have not yet received a response from the authors.

Year	metric tons C-CO <sub>2</sub> eq/GWh	kg CO2eq/MWh
2006	183	671
2007	119	436
2008	84	308
2009	65	238

Teodoru Study – Net Carbon Emissions by Year

The Teodoru Study also compiled carbon flux rate data from existing reservoirs elsewhere in Quebec from other studies, and assumed that the pre-flooding conditions and carbon budgets would have been similar to the ecosystem beneath the Eastmain-1 reservoir The post-flooding measurements, less the assumed pre-flooding estimates for these other reservoirs, were used to extrapolate the empirical data from Eastmain-1 out 100 years. The Teodoru Study concluded that over time, the net carbon emission rate for similar reservoirs did not become zero, but appeared to level out. Using curve-fitting techniques, the Teodoru Study estimated the long-term average net carbon emission flux rate over a 100year lifecycle, and converted it to a carbon emission rate per GWh by using the annual hydroelectric generation for Eastmain-1. This value was 43 metric tons of carbon (C-CO<sub>2</sub>eq) per GWh, equivalent to 158 kg CO<sub>2</sub>eq/MWh. Importantly, the study notes that this per GWh rate would decrease to 17 metric tons of carbon (C-CO<sub>2</sub>eq) per GWh (equivalent to 62 kg CO<sub>2</sub>eq/MWh) when the Eastmain-1 capacity is expanded and annual energy output increases to 6.9 GWh, assuming no change in the reservoir.

The Teodoru Study tried to minimize uncertainties regarding measurement techniques and calculations. The Teodoru Study utilized a "sampling strategy... to obtain an extensive coverage of the reservoir surface reducing the uncertainties around mean values of measured variables and to take into account the spatial heterogeneity in surface fluxes that is related to the former landscape types." In addition, the authors "...bracketed our best estimates (the mean value) of net reservoir C footprint, with likely upper and lower limits, by creating two contrasting extreme scenarios: 1) a low emission scenario that combines the lowest reservoir fluxes and highest pre-flood emissions; and 2) a high-emission scenario that combines the highest reservoir fluxes plus the highest pre-flood sinks (or minus the lowest pre-flood emissions). This approach intrinsically provides wider confidence intervals than standard error propagation calculations would yield and we are thus confident that the uncertainty in our projected emissions are both conservative and robust."



## Teodoru Study Figure 4a – Net Carbon Emissions over Time

Figure 4a from the Teodoru Study illustrating the estimated decline in the net carbon emission rate over time is provided above. This figure includes (i) an uncertainty band bracketing the measured and projected carbon emission rate (per GWh), (ii) the estimated emission rate from a natural gas-fired combined cycle plant, and (iii) an expanded view of the chart for the first fifteen years.

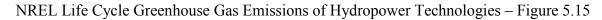
Based on the chart data, we estimated the average emission rate over the first 10 years of a newly developed hydroelectric reservoir, the average emission rate over a 100-year lifetime of a hydroelectric reservoir, and the high and low average values using the uncertainty bands provided in the chart. These results are shown in the table below.

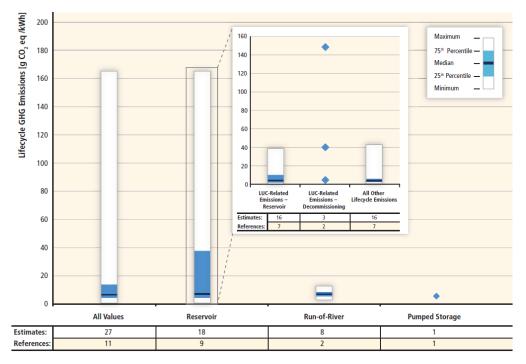
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	Case	10-year	100-year	
	High	353	247	
	Mid	267	158	
	Low	208	113	

Teodoru Study - Average Emissions Rate (kg CO<sub>2</sub>/MWh)

The 10-year average rate is applicable for estimating the incremental emissions ascribable to a newly constructed reservoir for only the first ten years after impoundment. The 100-year average is customarily reported as a life-cycle emission rate, and is applicable to the long-term operation of a hydroelectric plant. In either case, it is important to note that these results are site-specific for the particular ecosystem of the Eastmain-1 reservoir basin, and sensitive to the annual energy production of the Eastmain-1 plant. Thus, these rates may not readily be generalized to all hydroelectric generation facilities.

The Teodoru Study is the most recent empirical analysis of GHG emission rates for a hydroelectric facility in a boreal environment in Quebec; there have been other studies using different approaches and for other locations internationally. A systematic review and analysis of life cycle assessments of various power generation technologies conducted between 1980 and 2010 was undertaken by the National Renewable Energy Laboratory (NREL), using a methodology set forth by the International Panel on Climate Change (IPCC).<sup>3</sup> NREL is funded by the U.S. Department of Energy and is the primary laboratory for renewable energy and energy efficiency research and development in the U.S. IPCC is a scientific intergovernmental body under the auspices of the United Nations and is an international accepted authority on climate change. The rigorous analysis of published studies involved "harmonization" of the life cycle assessment data so that they could be represented on an "apples to apples" basis, and to screen out data not meeting IPCC quality standards. The results are presented as a statistical range, recognizing the variability of the measurements. NREL's results for hydropower life cycle assessment data are reproduced in the figure below. The second bar illustrates values from 9 references and 18 estimates for reservoirs, comparable to the Teodoru Study.





<sup>&</sup>lt;sup>3</sup> From: Kumar, A., T. Schei, A. Ahenkorah, R. Caceres Rodriguez, J.M. Devernay, M. Freitas, D. Hall, Å. Killingtveit, Z. Liu, 2011: Hydropower. In *IPCC Special Report on Renewable Energy Sources and Climate Change Mitigation* [O. Edenhofer, R. Pichs-Madruga, Y. Sokona, K. Seyboth, P. Matschoss, S. Kadner, T. Zwickel, P. Eickemeier, G. Hansen, S. Schlömer, C. von Stechow (eds)], Cambridge University Press. Figure 5.15 and found at: http://www.nrel.gov/analysis/sustain\_lca\_hydro.html

IPCC's statistical analysis of the reservoir data, provided in the table below, indicates that the Teodoru Study long term average value of 158 kg CO<sub>2</sub>eq / MWh (equal to 158 g CO<sub>2</sub>eq/kWh) based on the current Eastmain-1 annual energy output is significantly above the high end of the data range.<sup>4</sup> However, the estimated long term average value when the Eastmain-1 expansion is constructed, 62 kg CO<sub>2</sub>eq/MWh (equal to 62 g CO<sub>2</sub>eq/kWh) is closer to, but still somewhat above the maximum value for reservoirs reported NREL notes that the higher values tend to be associated with land use change (LUC) assessments pre- and post-flooding, such as captured in the Teodoru Study. LUC assessments associated with hydropower reservoirs continue to be an area of active research.

Value	kg CO <sub>2</sub> eq/MWh	
Minimum	0	
25 <sup>th</sup> percentile	3	
50 <sup>th</sup> percentile	4	
75 <sup>th</sup> percentile	7	
Maximum	43	

IPCC's Statistical Analysis of the NREL Data<sup>5</sup>

The authors of this memo include Dr. Ellen Cool, a Vice President and Principal of Levitan & Associates, Inc. (LAI). Prior to joining LAI, Dr. Cool was a Principal with Harding Lawson Associates, Inc. (formerly ABB Environmental Services, Inc.), where she managed the New England region for the engineering and environmental consulting firm. She previously was a project manager at TRC Corporation and Woodward Clyde Consultants. Dr. Cool received an A.B. in Geological Sciences from Harvard University, and an M.S. and Ph.D. in Geological Sciences from the University of Washington.

Seth Parker is a Vice President and Principal of LAI. Prior to joining LAI, Mr. Parker was a Vice President of Stone & Webster Management Consultants where he conducted due diligence in support of over \$6 billion of domestic and overseas power project debt. He held positions at J. Makowski Associates, ThermoElectron Energy Systems, and in the Treasurer's Office at Pacific Gas & Electric. Mr. Parker received a Sc.B. from Brown University in Applied Mathematics / Economics and an M.B.A. from the Wharton School in Operations Research / Finance.

Alex Mattfolk is a Senior Consultant at LAI. Mr. Mattfolk received his B.S. in Chemical Engineering from the Massachusetts Institute of Technology and completed a minor in economics.

<sup>&</sup>lt;sup>4</sup> Moomaw, W. et al, Annex II: Methodology, in IPCC Special Report (Ibid.).

<sup>&</sup>lt;sup>5</sup> Note that the outliers (the blue diamonds in the NREL figure inset), which show reservoir hydropower lifecycle estimates of over 150 kg CO<sub>2</sub>eq/MWh, are based on only a few data points related to LUC during reservoir decommissioning, and do not appear to be included in the IPCC statistical analysis.